

# MODERATE INDEXED RISK CONTROL™

A strategy that seeks capital appreciation  
with a balanced objective of capital preservation

First Quarter 2022





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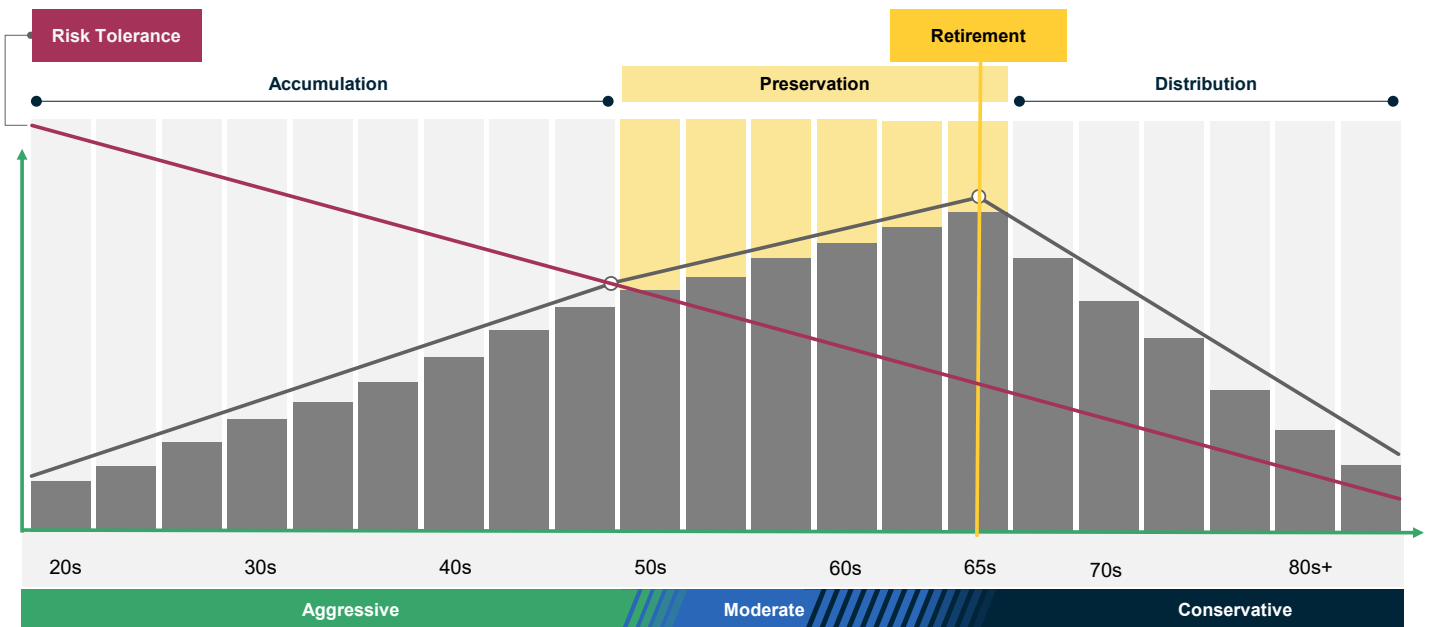


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# DOES YOUR RECOMMENDED RETIREMENT SAVINGS MODEL ACCOUNT FOR DOWNTURNS?



## WE BELIEVE

Portfolio construction is outdated and current methods are failing the American investor because, among other things, sequence of return risk is not properly being addressed.

## SO WE CREATED

### Indexed Risk Control™

We seek more repeatable outcomes to help investors achieve their financial goals. Indexed Risk Control is built from aspects of structured solutions, passive equity indexed investing and active fixed income management, guided by algorithmic rebalancing.

Our **Moderate** fund is designed for those where protection and growth are of equal importance.

	Conservative	Moderate	Aggressive
Fixed Income and Cash Range (as % of total portfolio)	90-100%	80-100%	60-100%
Type of Fixed Income	Investment Grade	Predominantly Investment Grade	Predominantly Investment Grade
Maximum Average Fixed Income Duration	6 years	6 years	10 years
Options Overlay	Long-only call options on an Index or a Publicly Traded US Company		

\*\* See full disclosure at <https://www.getbuilding.com/general-disclosures>

\*\*\* See investor disclosures for full details [www.trustalta.com/getbuilding](http://www.trustalta.com/getbuilding)

# PERFORMANCE

## Fund Objective

Capital preservation with a balanced objective of capital appreciation.

## Fund Strategy

The fund invests in fixed income securities and an options overlay to manage risk as well as participate in positive long-term performance in equity markets. The fixed income assets of the Fund may invest in fixed income securities—including U.S. Treasury and agency bonds, corporate bonds, mortgage-backed securities, commercial mortgage-backed securities, and asset-backed securities. The fixed income core accounts for the majority of assets in the portfolio, with a target allocation of 90% to fixed income assets in the portfolio. The fund utilizes an options overlay to provide exposure to positive returns in US Large Cap equities while establishing a target level of maximum downside risk exposure. The investment manager applies its proprietary risk management algorithms to achieve the dual objectives of 1. minimizing the contribution to the fund’s aggregate level of downside risk in the aggregate investment portfolio, and 2. maximizing the fund’s participation in future positive returns of the S&P 500™ Index over a long-term investment cycle.

CUSIP: 12007F203

TICKER: BLDMRX

### FUND ALLOCATION

- Fixed Income: 82.57%
- Derivatives: 11.84%
- Cash: 5.59%

### TOP 5 HOLDINGS

- US Treasury 0.375 10/31/2023 - 22.82%
- Vanguard Short-Trm Corp Bnd ETF - 19.02%
- SPDR Portfolio MBS Bond ETF - 11.89%
- US Treasury 0.375 07/15/2024 - 11.20%
- US Treasury 0.125 08/15/2023 - 10.11%

## PERFORMANCE NUMBERS (ANNUALIZED TOTAL RETURNS)

Fund	Year to Date	Annualized						Since Inception*	Max Drawdown Since Inception*
		1 Month	3 Month	1 Year	3 Year	5 Year	10 Year		
Build Moderate Indexed Risk Control™ CIT (BLDMRX)	-7.05%	-0.21%	-7.05%	n/a	n/a	n/a	n/a	-3.70%	-9.71%
S&P Target Risk Growth Index (SPTGGUT)	-5.44%	0.16%	-5.44%	2.68%	8.97%	7.98%	7.59%	7.05%	-30.71%

\* BLDMRX inception date: July 20, 2021, SPTGGUT inception date: September 25, 2008

## ALTA TRUST TRUSTEE



### Alta Trust Disclosure

Alta Trust is a South Dakota chartered Trust company that acts as the Trustee of this Collective Investment Fund (“CIF” or “CIT”). Collective Investment Funds are bank maintained and not registered with the Securities and Exchange Commission. The Declaration of Trust for the Trust describes the procedures for admission to and withdrawal from a Fund. The Declaration of Trust and the Fund’s Employee Benefit Summary should be read in conjunction with this information statement and is hereby incorporated by reference. A copy of these documents may be obtained by contacting Alta Trust at [info@trustalta.com](mailto:info@trustalta.com).

Before investing in any Fund, please consider the Fund’s investment objective, strategies, risks, and expenses. Be sure to consult with your financial, legal and professional tax advisors prior to investment in any Fund. Performance is expressed in USD. Past performance does not guarantee future results. The performance data quoted represents

past performance and current returns may be lower or higher. Investment returns and principal value will fluctuate so that an investor’s shares, when sold, may be worth more or less than their original cost. Current performance may be lower or higher than returns shown. Performance numbers reflect total trust operating expenses, net of all fees, waivers, and/or expense reimbursements. Performance numbers assume reinvestment of all dividends and distributions. All investing involves risk, including potential loss of principal. There is no guarantee that the Fund will achieve its objective.

**Fund Restriction/Limitations:** This Collective Investment Fund may only accept assets of defined contribution plans that are part of a pension, profit sharing, stock bonus or other employee benefit plan of an employer for the exclusive benefit of employees or their beneficiaries and is (i) exempt from federal income taxes under Section 501 (a) of the code, by reason of qualifying under Section 401(a) or 414(d) of the code or (ii) is part of an eligible deferred compensation plan maintained by a state or local governmental unit under Section 457(b) of the Code (“Section 457 Plan”), which is either exempt from or not subject to income taxation.

### Shareholder Fees

The CIT does not charge any fees directly against a participant’s or beneficiary’s investment, such as commissions, sales loads, sales charges, deferred sales charges, redemption fees, surrender charges, exchange fees, account fees, and purchase fees, which are not included in the total annual operating expenses of any designated investment alternative.

Fund Name	CUSIP	Fee Total	Estimated Acquired Expense	Estimated Administrative Expense	Total Operating Expense	Effect on \$1,000 Annually
Build Moderate Indexed Risk Control Collective Investment Trust	12007F203	0.57%	0.05%	0.00%	0.62%	\$6.20

Estimated Portfolio Turnover: 55%





**Let's get building.**

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